

ANNEXURE "A" TO THE INVESTMENT MANDATE

NAME OF PERSON MAKING DECLARATION : _____

LEGAL ENTITY (if applicable) _____

IDENTITY / REGISTRATION NUMBER: _____

TRANSACTION: TRANSFER OF ERF/SECTION _____

Pursuant to the provisions of Sections 21F, G and H of the Financial Intelligence Centre Act 38 of 2001 (read with Schedule 3A thereto) Garlicke & Bousfield Inc, as an Accountable Institution, is obliged to obtain the following information:

Individuals or in the case of Legal Entities: **Companies:** Directors, Person exercising executive control (MD/CEO) and Shareholders (if holding more than 25% shares) **Close Corporation:** Members **Trusts:** Trustees, Founders, Named Beneficiaries who hold (or held within the preceding 12 months) one of the following roles in RSA or outside the Republic in a formal or acting capacity.

| PROMINENT INFLUENTIAL PERSONS: | PLEASE TICK YES OR NO | |
|---|--------------------------|--------------------------|
| | YES | NO |
| The Premier of a province; | <input type="checkbox"/> | <input type="checkbox"/> |
| A member of the Executive Council of a province; | <input type="checkbox"/> | <input type="checkbox"/> |
| An executive mayor of a municipality; | <input type="checkbox"/> | <input type="checkbox"/> |
| A leader of a political party; | <input type="checkbox"/> | <input type="checkbox"/> |
| Government minister or senior politician; | <input type="checkbox"/> | <input type="checkbox"/> |
| A member of a royal family or senior traditional leader; | <input type="checkbox"/> | <input type="checkbox"/> |
| The head, accounting officer or chief financial officer of a national or provincial department or government component; | <input type="checkbox"/> | <input type="checkbox"/> |
| The municipal manager or a chief financial officer; | <input type="checkbox"/> | <input type="checkbox"/> |
| The chairperson of the controlling body, the chief executive officer, or a natural person who is the accounting authority, the chief financial officer or the chief investment officer of a public entity listed in Schedule 2 or 3 to the Public Finance Management Act, 1999 (Act No. 1 of 1999); | <input type="checkbox"/> | <input type="checkbox"/> |
| The chairperson of the controlling body, chief executive officer, chief financial officer or chief investment officer of a municipal entity as defined in section 1 of the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000); | <input type="checkbox"/> | <input type="checkbox"/> |
| Judicial Officer; | <input type="checkbox"/> | <input type="checkbox"/> |
| An ambassador or high commissioner or other senior representative of a foreign government based in the Republic; or | <input type="checkbox"/> | <input type="checkbox"/> |
| High ranking member of military; | <input type="checkbox"/> | <input type="checkbox"/> |
| Senior executive of a state-owned corporation | <input type="checkbox"/> | <input type="checkbox"/> |

PROMINENT INFLUENTIAL PERSONS CONTINUED.....

| THE POSITION IN A PRIVATE OWNED COMPANY THAT PROVIDES GOODS OR SERVICES TO AN ORGAN OF STATE. | | |
|---|--------------------------|--------------------------|
| Chairperson of the board of directors; | <input type="checkbox"/> | <input type="checkbox"/> |
| Chairperson of the audit committee; | <input type="checkbox"/> | <input type="checkbox"/> |
| Executive officer; or | <input type="checkbox"/> | <input type="checkbox"/> |
| Chief financial officer, | <input type="checkbox"/> | <input type="checkbox"/> |
| A SENIOR POSITION HELD AT AN INTERNATIONAL ORGANISATION BASED IN RSA, INCLUDING THAT OF: | | |
| Head; or | <input type="checkbox"/> | <input type="checkbox"/> |
| Other Executive directly accountable to that Head | <input type="checkbox"/> | <input type="checkbox"/> |

I/WE WARRANT AND CONFIRM THAT I/WE HAVE ANSWERED ALL QUESTIONS AND REQUESTS FOR INFORMATION FULLY AND TRUTHFULLY AND THAT I/WE HAVE READ UNDERSTAND THE TERM AND CONDITIONS

FULL NAME: _____ SIGNATURE _____

FULL NAME: _____ SIGNATURE _____

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| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|